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ASSISTANT COMMISSIONER FOR PATENTS  
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Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is the  
☒ patent application of  
☐ continuation patent application of  
☐ divisional patent application of  
☐ continuation-in-part patent application of

Inventor(s)/Applicant Identifier: Roger K. Brooks et al.

For: COMPUTER PROGRAM PRODUCT FOR TRANSFORMING STREAMING VIDEO DATA

☒ This application claims priority from each of the following Application Nos./filing dates:  
60/157,468/October 1, 1999  
the disclosure(s) of which is (are) incorporated by reference.  
☐ Please amend this application by adding the following before the first sentence: "This application is a ☐ continuation ☐ continuation-in-part of and claims the benefit of U.S. Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_, the disclosure of which is incorporated by reference."

Enclosed are:

- ☒ 28 page(s) of specification
- ☒ 5 page(s) of claims
- ☒ 1 page of Abstract
- ☒ 8 sheet(s) of ☐ formal ☒ informal drawing(s).
- ☒ An assignment of the invention to Luxxon Corporation (including Recordation Form Cover Sheet).
- ☒ A ☒ signed ☐ unsigned Declaration & Power of Attorney
- ☐ A ☐ signed ☐ unsigned Declaration.
- ☐ A Power of Attorney by Assignee with Certificate Under 37 CFR Section 3.73(b).
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 ☒ is enclosed ☐ was filed in the prior application and small entity status is still proper and desired.
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☐ Information Disclosure Statement under 37 CFR 1.97.
- ☐ A petition to extend time to respond in the parent application.
- ☐ Notification of change of ☐ power of attorney ☐ correspondence address filed in prior application.
- ☒ Postcard

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	22 - 20	= *2
INDEP. CLAIMS	3 - 3	= *0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

\* If the difference in Col. 1 is less than 0, enter "0" in Col. 2.

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RATE	FEE		RATE	FEE
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2 x \$9.00 =	\$ 18.00	OR	x \$18.00 =	
0 x \$39.00 =	\$ 0.00	OR	x \$78.00 =	
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TOTAL	\$363.00	OR	TOTAL	

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Respectfully submitted,  
TOWNSEND and TOWNSEND and CREW LLP

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**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN**

Applicant or Patentee: Roger K. Brooks et al.  
 Application or Patent No.: \_\_\_\_\_  
 Filed or Issued: Herewith  
 Title: COMPUTER PROGRAM PRODUCT FOR TRANSFORMING STREAMING VIDEO DATA

I hereby declare that I am:

- ☒ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below.

Name of Small Business Concern: Luxxon Corporation  
 Address of Small Business Concern: 2055 Gateway Place, #350  
San Jose, CA 95110

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled COMPUTER PROGRAM PRODUCT FOR TRANSFORMING STREAMING VIDEO DATA by inventor(s) described in:

- ☒ the specification filed herewith;  
☐ Application No. \_\_\_\_\_, filed \_\_\_\_\_;  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name: \_\_\_\_\_  
 Address: \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Name: \_\_\_\_\_  
 Address: \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Dave M Singhal  
 Title of Person if Other than Owner: President and CEO  
 Address of Person Signing: 2055 Gateway Place, #350  
San Jose, CA 95110

Signature D Singhal Date 2/7/00

COMPUTER PROGRAM PRODUCT FOR  
TRANSFORMING STREAMING VIDEO DATA

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## COMPUTER PROGRAM PRODUCT FOR TRANSFORMING STREAMING VIDEO DATA

### CROSS-REFERENCES TO RELATED APPLICATIONS

5           The present invention disclosure claims priority to Provisional U.S. Patent Application Number 60/157468, Attorney Docket Number 19838-000300, filed October 1, 1999, entitled Internet Camera Video Producer. This application is herein by incorporated by reference for all purposes.

### BACKGROUND OF THE INVENTION

10           The present invention relates to products for providing media across a computer network. In particular, the present invention relates to computer program products configured to adapt input streams of video data to meet desired parameters for output streams of video data.

15           The legend of the Tower of Babel tells us that humans once spoke a common language. However, divine intervention soon foiled human's plans in the building of the tower to the sky. This was done by making humans speak in different languages. As a result, the legend goes, humans did not understand each other, humans misunderstood others' intentions, and ultimately humans moved away from each other.

20           Ever since that day, humans have been benefited and plagued by language and cultural differences.

          Translating between different languages has also been an issue considered in popular fiction. In Douglas Adam's well-known Hitchhiker's Guide to the Galaxy (1979), the problem of different languages was solved by the introduction of a "Babel fish." Adams described the Babel fish as a "small, yellow and leechlike, and probably the oddest thing in the Universe. It feeds on the brainwave energy received not from its own carrier but from those around it. It absorbs all unconscious mental frequencies from this brainwave energy to nourish itself with. It then excretes into the mind of its carrier a telepathic matrix formed by combining the conscious thought frequencies with nerve signals picked up from the speech centers of the brain which has supplied them. The practical upshot of all this is that if you stick a Babel fish in your ear you can instantly understand anything said to you in any form of language." While this, of course, may not really exist, a commercial translation service has actually been named after the Babel fish.

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On the Internet, Altavista.com provides a translation service that translates text in a web page from one selected language to another selected language. The tool is called the "Babel fish." To use this tool, the user enters a web address and then specifies the language direction, e.g. English to French. In response, the Babel fish will translate the text that appears on the page into the new language, all other aspects of the page will remain the same. The quality of the translations may vary with actual usage. For example, translating the phrase "To jump the gun" to French and back into English returns the phrase "To connect the gun." As can be seen, the quality of such services are not quite ideal. Further, such services do not address non-text data such as audio and visual (media) data.

On the web, other types of data than text are also displayed to users. Such data include media such as images, sounds, video, and the like. With such data, instead of being in different languages, the data are stored and transmitted into different formats. Most of the different media formats are incompatible. Currently, there are a multitude of standards or formats for each of them, for example, images may be transmitted in formats including \*.jpg, \*.gif, \*.bmp, \*.pcx, and the like; sounds may be transmitted in formats including \*.wav, \*.mp3, \*.aiff, and the like; and video may be transmitted in formats including \*.avi, \*.mov, \*.rm, \*.mpg, \*.asf, vivo and the like.

To view or hear data in any of the above media formats requires an appropriate viewing (translation) application program. That is, an application program is required to decode the transmitted data and output the data to the computer of the requester. The requesting computer must have preinstalled many different viewer applications. This is so that the computer can receive, decode, and display data stored in the many different media formats.

One drawback to requiring multiple viewers is that this solution is not appropriate for all devices connected to the web. In particular, it is not appropriate for future wireless devices, or the like. Such devices may include cellular telephones, wireless PDAs, network appliances (refrigerators, toasters, ovens, smart houses), wrist watches, wearable computers, and the like. Because many of these devices will have lower amounts of memory and performance compared to desk top computers, these devices will not be able to display a large number of viewers. As a result, these devices will not be able to play many different media formats.

One solution proposed to address this problem has been to standardize upon one particular format. For example, all wireless devices in the future would be able

to receive and output data stored in one particular media format, such as MPEG-4 (Motion Pictures Expert Group), or the like.

A drawback to this solution is that in theory, this solution is reasonable, however, in practice, it is not likely to happen. The primary reason is that there are many competing media formats available, and most are backed by separate companies. For example, Windows pushes the \*.avi media format, Apple pushes the \*.mov media format, Real Networks pushes the \*.rm format, Vivo Software pushes its own format, and the like. It is doubtful that such companies will agree on a single media format.

Another drawback is that even if there is one standardized media format, different requesting devices will have different performance, resolutions, bandwidth, and the like. For example, a PDA may have the ability to display 80x60 pixel 24-bit color images, however a wrist watch may have the ability to display only 40 x 30 pixel 8-bit gray scale images, and the like. Because many future wireless network devices or appliances will have different performance, bandwidth, and the like, the source of the media will have to store the data in just as many data files. For example, if there are 100 different telephones with web access, each telephone with its own performance, bandwidth factors, and the like, to support all these telephones the media source will have to store the data in 100 different data files. This is highly inefficient and/or impractical to implement, not to mention impossible for live data.

Typically, media sources, such as web sites, provide only a limited number of media formats and performance characteristics. For example, a typical site may only offer a media clip in the Quicktime format at 320x240 resolution or 160x120 resolution. If a requesting device cannot process Quicktime format, the user is out of luck. As another example, if the requesting device can only play 80x60 resolution Quicktime movies, the media source is wasting its output bandwidth sending the requesting device 160x120 resolution Quicktime movies.

Thus what is needed in the industry are improved systems for providing requesting devices with media in the format and performance appropriate and/or requested for these requesting devices.

## SUMMARY OF THE INVENTION

The present invention relates to products for transcoding and transforming video streams. In particular, the present invention relates to computer program products for adapting input streams of video data to meet desired parameters for output streams of

video data. On the fly adaptation to desired output parameters may be made with respect to display size, frame rate, bit-depth, bit rate, encoding format, and the like.

According to one embodiment, a computer program product for a system including a processor includes code that directs the processor to determine an output resolution for an output stream of data, code that directs the processor to determine an output frame rate for the output stream of data, and code that directs the processor to determine an output color depth for the output stream of data. Code that directs the processor to retrieve a first frame of data, a second frame of data, and a third frame of data from an input stream of data, the input stream of data having an input resolution, an input frame rate, and an input color depth, and code that directs the processor to subsample the first frame of data, the second frame of data, and the third frame of data to respectively form a first subsampled frame of data, a second subsampled frame of data, and a third subsampled frame of data, when the output resolution is lower than the input resolution are also included. The computer program product also includes code that directs the processor to remove the second subsampled frame of data, when the output frame rate is lower than the input frame rate, code that directs the processor to reduce color depth for the first subsampled frame of data and the second subsampled frame of data to respectively form a first reduced frame of data and a second reduced frame of data, when the output color depth is smaller than the input color depth, and code that directs the processor to convert the first reduced frame of data and the second reduced frame of data into the output stream of data. The codes reside on a tangible media.

According to another embodiment, a program product for a processor includes code that directs the processor to receive a specification of a resolution, a frame rate, a color depth, and format for the output video stream, code that directs the processor to receive a specification of a resolution, a frame rate, and a color depth, for the input video stream, and code that directs the processor to receive a plurality of video frames from an input video stream. Also included are code that directs the processor to subsampling each video frame from the plurality of video frames, when the resolution for the output video stream is different from the resolution of the input video stream, code that directs the processor to drop video frames from the plurality of video frames, when the frame rate for the output video stream is different from the frame rate of the input video stream, and code that directs the processor to reduce color depth for video frames from the plurality of video frames, when the color depth for the output video stream is different from the color depth of the input video stream. The program product also

includes code that directs the processor to convert the plurality of video frames to the output video stream in response to the format for the output video stream. The codes reside on a tangible media.

According to yet another embodiment, a program product for a processor for dynamically reducing bandwidth of an input video stream to meet bandwidth requirements for an output video stream includes a tangible media. The tangible media includes code configured to direct the processor to receive frames of data derived from the input video stream, code configured to direct the processor to receive bandwidth requirements for the output video stream, and an encoding format for the output video stream, and code configured to direct the processor to reduce bandwidth used by the frames of data in response to the bandwidth requirements. The tangible media also includes code configured to direct a processor to encode bandwidth reduced frames of data to form the output video stream in the encoding format.

#### BRIEF DESCRIPTION OF THE DRAWINGS

A more complete appreciation of the invention and many of the attendant advantages thereof will be readily obtained as the same becomes better understood by reference to the following detailed description when considered in connection with the accompanying drawings, wherein:

Fig. 1 illustrates a block diagram of a usage scenario according to an embodiment of the present invention;

Fig. 2 is a block diagram of a typical gateway computer and its interface to client computers according to an embodiment of the present invention;

Fig. 3 illustrates a block diagram of an embodiment of the present invention;

Fig. 4 illustrates a block diagram of a transcoding compound according to an embodiment of the present invention;

Figs. 5A and 5B illustrates an overview flow diagram according to an embodiment of the present invention; and

Figs. 6A and 6B illustrates a more detailed embodiment of the present invention.



## DESCRIPTION OF THE SPECIFIC EMBODIMENTS

Fig. 1 illustrates a block diagram according to an embodiment of the present invention. Fig. 1 illustrates a gateway computer 100, and plurality of computer systems 110 – 150 coupled to each other via a computer network 160. In the present embodiment, a video camera 170 is coupled to computer system 110, and a network appliance 180 is coupled via a wireless network 190 to computer system 150. Computer systems 110 – 150 are coupled to computer network 160 via network connections 200-240.

In the present embodiment, computer network 160 is the Internet. In alternative embodiments of the present invention, computer network 160 may be any computer network, such as an intranet, a computer network, a local area network, an internet, and the like. Computer network 160 provides data communication among computer systems 110-150 and gateway computer 100. Data communication may include transfer of HTML based data, textual data, form submissions, plug-in programs or viewers, applets, audio data, video data, and the like. Although computer network 160 is illustrated as a single entity, as is the case with the Internet, it should be understood that computer network 160 may actually be a network of individual computers and servers.

In the present embodiment, network connections 190 – 240 have typical maximum bandwidth characteristics that are known a priori. In Fig. 1, for example, network connection 200 is shown as a 1.5 mega bit per second (Mbps) TI connection, as is common with businesses; network connection 210 is shown as a 56 kilobit per second (kbps) connection as is common with home computers, set top boxes, and the like; network connection 220 is shown as a 14.4 kbit (kbps) connection to personal digital assistants (PDAs), such as PalmOS devices, WindowsCE devices, and the like. In this example, network connection 230 is shown as a 384 kbps digital subscriber line (DSL) connection as is common with small businesses or power users. In alternative embodiments, network connection 230 may be smaller or greater than 384 kbps, for example 1 mbps downstream and 500 kbps upstream, or the like. In embodiments of the present invention, other speeds of network connections are envisioned. Further, in practice, many network connection speeds may vary greatly with network traffic, time of day, and the like.

In Fig. 1, network connection 190 is shown as a 10 kbps connection as is currently planned for typical network appliances. The connection rate may vary, and may increase in alternative embodiments of the present invention. For example, alternative

embodiments of network appliances may include wireless modems that may range in speeds of up to 128 kbps, or the like. It is believed that slower rates may be more widely utilized in typical network appliances to keep the cost of such appliances down.

In the present embodiment, computer systems 110, 120, 140, and 150 are embodied as typical personal computers such as those available from companies such as HP, Compaq, IBM, and the like. Such personal computers are typically powered by microprocessors such as the Athlon processor available from AMD and include operating systems such as Windows98 from Microsoft. In alternative embodiments, other personal computers such as those available from Apple or Dell, may also be used. Computer systems 110 and 140 are typically desktop computers. Computer system 120 may be a desktop computer, a laptop computer, a television set top box, such as from WebTV Networks, game consoles such as the Dreamcast, a network computer, or other types of units incorporating processors, microcontrollers, ASICs, and the like.

Computing systems 110, 120, 140, and 150 are typically connected to computer network 160 via local area networks, via dial-up modems, ISDN, DSL, cable modems, satellite modems, or the like.

In the present embodiment, computer system 130 typically includes PDAs or other portable computing platforms. Such PDAs may operate on a variety of operating system platforms including PalmOS, WindowsCE, or the like. Further, such PDAs operate on a variety of processors. Such devices are typically coupled to computer network 160 via telephone lines, or other wire-based network connections.

In the present embodiment, network appliance 180 may include wireless telephones including cellular technology, CDMA, TDMA, and other technologies. In other examples, network appliances may include kiosks, wrist watches, pocket or portable displays or terminals, wearable computers, retinal implants, surveillance equipment, kitchen appliances, and the like.

These devices are typically coupled to computer network 160 via computing system 150 and wireless network 190. In one embodiment of the present invention, computing system 150 is a wireless application protocol server (WAP) that provides data to and from network appliance 180 in the WAP format. In alternative embodiments, other standard and/or proprietary formats may also be used.

In the present embodiment, computer systems 120-140 and network appliance 180 include application software that communicates using the HTTP, TCP/IP, and/or RTP/RTSP protocols. These communication protocols are well known, thus no

description is given herein. The application software is typically embodied as a web browser (client), in one embodiment. Further, the software is typically able to display \*.gif, and/or \*.jpg format images. For computer systems 120 and 140, the web browser software may be embodied as Netscape Navigator 4.x, Microsoft's Internet Explorer 5.x, or the like. In alternative embodiments of the present invention, other transfer and communication protocols may also be used, for example IPX, or the like. Further, different web client software may be used in other embodiments.

In the present embodiment, it is envisioned that video data will be transferred from computing system 110 to computer systems 120-150 and onto network appliance 180. The video data may also be provided by gateway computer 100. In one example, video data is stored on computing system 110 in a variety of formats including MPEG1, MPEG2, and MPEG4, as are well known in the art. In alternative embodiments other video formats are envisioned and may include the Windows \*.avi format, the Quicktime \*.mov format, or the like. In other embodiment, streaming video formats may be used to provide video data, for example formats from RealNetworks, Microsoft, Apple, or the like. In the present embodiment, the streaming video may be from a stored video archive, or from a live video camera 170, or the like. Whether archived or live, the video is typically output by computing system 110 onto computer network 160.

As illustrated in the examples in Fig. 1, computing systems 120-140 and network appliance 180 are all coupled to computer network 160 with different bandwidth limited connections. Further, computing systems 120-140 and network appliance 180 typically have different processing power, display capabilities, memory, operating systems, and the like. As a result of these differences, each system have different abilities to receive, process, and display video data.

In the example in Fig. 1, the bandwidth of network connection 230 between computing system 140 and computer network 160 is a DSL connection. As illustrated in Fig. 1, because the bandwidth is relatively large, network connection 230 is capable of providing computing system 140 with enough video data to display up to a 640x480 pixel color image at 10 frames per second (fps) using an MPEG1 format. In alternative embodiments, other configurations are envisioned, for example, 320x240 monochromatic image at 30 fps, or the like.

In the example in Fig. 1, the bandwidth of network connection 210 between computing system 120 and computer network 160 is limited to 56K by the modem. As illustrated in Fig. 1, because the bandwidth is relatively small, network

connection 210 is capable of providing computing system 120 with enough video data to display up to a 160x120 color image at 5 fps using an MPEG4 format. As above, in alternative embodiments, other video configurations are envisioned, for example, a 80x60 4-bit image at 25 fps, or the like.

5 Still further, in the example in Fig. 1, the bandwidth of network connection 220 between computing system 130 and computer network 160 is limited to 14.4 kbps by the modem. As illustrated in Fig. 1, because the bandwidth is small, network connection 220 is capable of providing computing system 130 with enough video data to display up to a 160x120 256 color (8-bit color) image at 5 fps. As above, in alternative  
10 embodiments, other video configurations are envisioned, for example, a 80x60 16 gray scale (4-bit) image at 10 fps, or the like.

Also in the example in Fig. 1, the bandwidth of wireless network 190 between network appliance 180 and computer network 160 is limited to 10 kbps. As illustrated in Fig. 1, because the bandwidth is very small, wireless network 190 is capable  
15 of providing network appliance 180 with enough video data to display up to a 64x48 black and white image at 3 fps. As above, in alternative embodiments, other video configurations are envisioned, for example, a 32x24 black and white image at 10 fps, or the like.

In the present embodiment, gateway computer 100 is coupled to computer  
20 network 160 and is configured to provide video data to computer systems 120-140 and network appliance 180. In particular, in the present embodiment, gateway computer 100 is configured to receive video data from computer system 110 and to provide video data to each device according to that device's bandwidth limitations, and in the output format desired. In this example, gateway computer 100 delivers a stream of video data to  
25 computer system 120 that represents a 160x120 resolution color image at 5 fps, in the MPEG4 format; gateway computer 100 delivers a stream of video data to network appliance 180 that represents a 64x48 resolution black and white image at 3 fps, in a custom format; and the like. Further details regarding gateway computer 100 are given below.

30 The diagram in Fig. 1 is merely an illustration which should not limit the scope of the claims herein. One of ordinary skill in the art would recognize many other variations, modifications, and alternatives.

Fig. 2 is a block diagram of a typical gateway computer 300 according to an embodiment of the present invention. Gateway computer 300 typically includes a

monitor 310, a computer 320, a keyboard 330, a graphical input device, a processing unit 340, a network interface 350, and the like.

In the present embodiment, a graphical input device is typically embodied as a computer mouse, a trackball, a track pad, wireless remote, and the like. Graphical  
5 input devices typically allow the users to graphically select objects, icons, text and the like output on monitor 310 in combination with a cursor.

Processing unit 340 is typically embodied as a high bandwidth PC bus, such as the PCI and the like, plug-in card into computer 320. In the present embodiment, processing unit 340 provides much of the functionality that will be described below.

10 Presently, processing unit 340 is a plug-in board, that is not yet currently available from Luxxon Corporation, the assignee of the present invention. In alternative embodiments of the present invention, the functionality provided by processing unit 340 may be implemented on a host computer 320 as software. In such a case, little additional hardware is typically needed.

15 Embodiments of network interface 350 include an Ethernet card, a modem (telephone, satellite, cable, ISDN), (asynchronous) digital subscriber line (DSL) units, and the like. Network interface 350 is coupled to a typical network as shown.

Computer 320 includes familiar computer components such as a processor 360, and memory storage devices, such as a random access memory (RAM) 370, a disk  
20 drive 380, and a system bus 390 interconnecting the above components.

In one embodiment, computer 320 is a PC compatible computer having an x86 based microprocessor, such as an Athlon microprocessor from Advanced Micro Devices, Inc. Further, in the present embodiment, computer 320 typically includes a WindowsNT operating system from Microsoft Corporation.

25 RAM 370 and disk drive 380 are examples of tangible media for storage of data, audio message files, computer programs, browser software, embodiments of the herein described invention, applet interpreters or compilers, virtual machines, and the like. Other types of tangible media include floppy disks, removable hard disks, optical storage media such as CD-ROMS and bar codes, semiconductor memories such as flash  
30 memories, read-only-memories (ROMS), and battery-backed volatile memories, and the like. In embodiments of the present invention such as set top boxes, mass storage, such as disk drive 380, and the like may be dispensed with.

In the present embodiment, gateway computer 300 also includes software that enables it to act as a server that communicates with computer systems 120-140 and

network appliance 180 using the HTTP, TCP/IP, and/or RTP/RTSP protocols. In alternative embodiments of the present invention, other software and transfer and communication protocols may also be used, for example IPX, UDP or the like.

Fig. 2 is representative of but one type of system for embodying the present invention. It will be readily apparent to one of ordinary skill in the art that many other hardware and software configurations are suitable for use with the present invention. For example, other types of processors are contemplated, such as the Pentium-class or a Celeron-class microprocessor from Intel Corporation, K6-x -class microprocessors from Advanced Micro Devices, PowerPC G3, G4 microprocessors from Motorola, Inc., and the like. Further, other types of operating systems are contemplated, such as Solaris, LINUX, UNIX, MAC OS 9 from Apple Computer Corporation, BeOS, and the like.

Fig. 3 illustrates a block diagram of an embodiment of the present invention. In particular, Fig. 3 illustrates a logical block diagram of one embodiment of processing unit 340, in Fig. 2. Fig. 3 includes a data acquisition block 400, a frame buffer 410, a transcoder block 420, a stream caster block 430, a network interface block 440, and a control block 450. These blocks are illustrated coupled to each other and to a computer network 470.

In the present embodiment, data acquisition block 400 provides the input of a stream of video data. In one embodiment, of the present invention, a video camera, such as a universal serial bus (USB) video camera, may be coupled to a computer such as gateway computer 300. The video camera provides a stream of input video data, and the stream of input video is then typically processed by data acquisition block 400. In another embodiment of the present invention, the stream of input video includes discrete cosine transform (DCT) compressed video

In one embodiment of the present invention, the streams of input video are embodied as streams of pixels of video data having associated luma and chroma values. In alternative embodiments, the streams of input video are embodied as streams of transformed or compressed video data. In such embodiments, the transformed video data typically comprises frames of video data that have been compressed by a discrete cosine transform (DCT) and quantization operation, or any other transform or compression (e.g. MPEG, JPEG, wavelet, fractal, or the like).

As shown in Fig. 3, in one embodiment of the present invention, data acquisition block 400 may include a USB interface camera, or the like. In such an

embodiment, data acquisition block 400 may receive the pixels of video data, and/or data acquisition block 400 may perform the DCT compression operation. As illustrated, the output of data acquisition block 400 is then input to frame buffer 410. Data acquisition block 400 may alternatively receive the streaming video data from network 440 for input into frame buffer 410.

In one embodiment of the present invention, the stream of video data or compressed video data are derived from “live” video data or compressed video. That is, data acquired from live video cameras or sources. In alternative embodiments, the stream of input video data is derived from archived video files, typically stored on a tangible media. These stored files may be resident on gateway computer 300, or any other computer coupled to gateway computer 300. In one embodiment, data acquisition block 400 performs the DCT compression operation on such incoming data. In an alternative embodiment, the archived video files are stored, in the compressed format on the respective computers systems.

When video data is derived from an external computer, for example, computer system 110, the stream of input video data typically utilizes a real-time streaming protocol known in the industry such as RTP, or the like. In the present embodiment, data acquisition block 400 is also configured process and maintain the appropriate video session time stamps information.

In embodiments of the present invention, the source of video may be a streaming media from a source on a network, such as computer system 110 on network 160. In such a case, the video stream is typically encoded in formats such as, JPEG, JPEG-2000, GIF, WBMP, MPEG-1, MPEG-2, MPEG-4, H.263, \*.avi, \*.mov, \*.rm, \*.aff, and the like. In this embodiment, data acquisition block 400 includes a decoder block that decodes the data from the encoding format. The decoded data may include pixel intensity values or DCT compressed data. The decoded data is then input and stored into frame buffer 410, as described below.

In the present embodiment, control block 450 is used to control the processing of data within the processing unit. In particular, control block 450 is used to manage transactions over the network via network interface 440. Further, control block 450 is used to manage input video data streams, is used to manage output video data streams, and the like as will be discussed below.

In one example, control block 450 receives information associated with the stream of input video data. Such information typically includes bandwidth parameters

such as the spatial resolution of the input video contained within the stream of input video data, the color bandwidth, or color bit-depth, of the input video, the number of frames per second of the input video, and the like. In this embodiment, the information also includes the video format, i.e. how the input stream of data is encoded, such as MPEG format,

5 Windows Media format, H.263, QuickTime format, Real Video format, or the like.

The data associated with the input video data may be derived from the input video data itself. Alternatively, the bandwidth parameters, desired format, and the like may be forwarded to control block 450 before the input video data stream begins.

Control block 450 also receives information associated with desired  
10 properties of streams of output video data. Such information typically also includes bandwidth parameters such as the spatial resolution of the output video contained within the stream of output video data, the color bandwidth (color bit-depth) of the output video, the bit rate of the output video, the number of frames per second of the output video, the contrast gain of the output video, and the like. Further, control block 450 also receives  
15 information regarding what format the output stream of data should be encoded in, such as M-JPEG, GIF, MPEG format, H.263 format, Windows Media format, Quicktime format, Real Video format, or the like.

The data associated with the output video data is typically derived from the requesting device. For example, in Fig. 1, the requesting device could be computer  
20 system 120 –140, network appliance 180, or the like. In this embodiment, when the requesting device contacts gateway system 100 to request gateway system 100 send a video stream, the requesting device will also inform gateway system 100 as to the bandwidth requirements. For example, such requirements may include maximum frame rate, color-depth, screen resolution or spatial bandwidth, maximum bit rate, and the like.  
25 Further, the requesting device will also inform gateway system 100 which output video format should be used to encode the data. For example, JPEG, JPEG-2000, GIF, WBMP, MPEG-1, MPEG-2, MPEG-4, H.263, \*.avi, \*.mov, \*.rm, \*.aff, and the like.

In one embodiment of the present invention, the bandwidth data and the format data is forwarded to gateway system 100 along with the request for video data. In  
30 an alternative embodiment of the present invention, the requesting device (computer, PDA, cell phone, etc.) merely identifies itself to gateway system 100. In such an embodiment, gateway system 100 receives the identifier, uses the identifier to locate an entry in a database file or other external source that specifies the bandwidth requirements, format requirements, and the like, for that device. Such a file may be resident on gateway



system 100, or resident on another computer. Such a file may be compiled based upon manufacturer data, network service provider data, and/or experimental results.

In one embodiment, the identifier may be unique for each class of device. For example, computers having a 56K modem will be in one class, cell phones having low display and processing abilities will be in one class, some cell phones having higher display and processing abilities will be in another class, and the like. Further, super-classes or sub-classes could also be specified which also indicate what type of format is desired.

In still other embodiments, the class may be divided by manufacturers of the device, and/or model of the device. As an example, all set top boxes (such as WebTV) with a 56K modem will be in one class, all cellular telephones based upon Crusoe chips and technology from Transmeta Corporation will be in another class, all PalmOS based devices will be in its own class, Motorola devices will be in another class, and the like. In one embodiment, each different model of device from each manufacturer and/or service provider may send a unique identifier to the computer network.

In response to the unique identifier, gateway system 100 will determine the bandwidth requirements, desired output format, and the like of the device. This data is then passed to control block 450 for processing.

In one embodiment of the present invention, the above data is typically passed to control block 450 before the processing by the blocks in Fig. 3 begins and before video data is output from network block 440. In another embodiment, video data may be output in a default format, until control block 450 receives or determines the desired output bandwidth, format, or the like. Such a default format may be a low bandwidth, low resolution, low frame rate, gray-scale image, or the like. Later, as the desired output bandwidth is determined and processed, the bandwidth and format of the output stream will be adjusted to the desired parameters.

In response to such data, control block 450 manages communication between data acquisition block 400 and frame buffer 410, manages the operation of transcoder block 420, manages the streams of output video data in conjunction with stream caster block 430, and the like.

In the present embodiment, control block 450 is embodied as firmware running on a microprocessor (uP) including program memory, data memory, and the like. In one embodiment, the uP is a Motorola 68XXX processor, although in alternative embodiments of the present invention, DSPs from other manufacturers may be used. In

other embodiments of the present invention, control block 450 may be embodied as an application specific integrated circuit (ASIC) or the like.

Fig. 3 also includes frame buffer 410. In the present embodiment, frame buffer 410 is used to buffer the stream of video data from data acquisition block 400, for processing by transcoder block 420. In this embodiment, the type of data and the rate at which frame buffer is updated are fixed by data acquisition block 400, under control of control block 450. In this embodiment, the data stored in frame buffer 410 may include pixels of video data having associated values (uncompressed); frames of video data that have been compressed with a quantized DCT operation; and the like. In one embodiment of the present invention, the video data may be stored in RGB component space, YUV component space, HSV component space, gray scale, and the like.

In one embodiment of the present invention, frame buffer 410 typically includes one or two buffers, each having a frame size of approximately 800 horizontal pixels by 600 vertical pixels (800x600). Each buffer typically has a bit-depth of at least 24 bits in one embodiment. Frame buffer 410 is typically minimally embodied as a 3 Megabyte DRAM, although larger sized memories may also be used. Alternatively, SRAM devices or embedded DRAM, or the like may also be used.

In this embodiment, transcoder block 420 retrieves incoming data from frame buffer 410 fully decompresses or partially decompresses the data, reduces the bandwidth of the data, and forms a stream of output data in a desired format. Transcoder block 420 receives the bandwidth requirements and the desired output format from control block 450. Further detail regarding transcoder block 420 will be given below.

In the present embodiment, stream caster block 430 is typically used to receive a stream of output video data from transcoder block 420 and to format the data for transmission to network interface 440. In this embodiment, network protocols used include TCP/IP protocols, although in other embodiments, other network protocols may also be used. In this embodiment, stream caster block 420 packetizes the output data stream, and determines IP addresses, payload lengths, and the like. Further, stream caster block 420 forwards the data segments into the appropriate TCP socket of network interface 440.

In this example, network interface 440 receives the segmented data from stream caster 430 and transmits the data to a network. The network, may be a computer network 160 such as the Internet, a LAN, or the like. In the present embodiment, the

network is TCP/IP based. In the present embodiment, network interface 440 is used to create, monitor, and close all the TCP/IP sockets and RTSP.

In this embodiment, network interface 440 also sends and receives data to and from a network via the TCP/IP sockets and sends incoming data to control block 450.

- 5 In alternative embodiments, network interface 440 may use other network protocols such as IPX, and other conventional and future-developed network protocols. Further, network interface 440 may use other data streaming protocols such as RTP, and any other conventional and future-developed streaming protocol.

- Fig. 4 illustrates a block diagram according to an embodiment of the present invention. In particular, Fig. 4 illustrates functional blocks available in a transcoder 500 according to one embodiment. Transcoder 500 includes a cropper block 510, a sampler block 520, a frame rate block 530, a color depth block 540, a bit rate control block 550, an encoder block 560, and an encryptor block 570. As was illustrated in Fig. 3, transcoder 500 is coupled to a frame buffer 410, and outputs data to stream  
15 caster 430, in the present embodiment.

- In Fig. 4, cropper block 510 retrieves frames of data from frame buffer 410. In this embodiment, cropper block 510 extracts a rectangular region of data from each frame retrieved from frame buffer 410. The extents of the rectangular region are specified in a "stream table" when receiving streaming video data. If no cropping is  
20 specified, cropper block 510 merely grabs the whole frame. Cropping is specified when there is a particular portion within a video frame that the requester wants to see.

Also illustrated in Fig. 4 is a sampler block 520 that receives input from cropper block 510. In this embodiment, sampler block 520 receives a desired output spatial resolution from control block 450.

- 25 In one embodiment of the present invention, sampler block 520, subsamples the image received from cropper block 510, to obtain the desired output resolution. As an example, an incoming frame may have 640 horizontal pixels x 480 vertical pixel resolution, however the desired output video image is 80 pixels x 60 pixels. In such an example, cropper block 510 may simply take every eighth pixel of the  
30 incoming frame for the output frame. Other methods of subsampling are also contemplated, for example, cropper block 510 may average eight pixels to obtain the value for the output pixel. Other methods, such as filtering, for subsampling are contemplated in alternative embodiments of the present invention.

In another embodiment, sampler block 520, supersamples the image from cropper block 510, to obtain the desired output resolution. As an example, an incoming frame may have an 80 x 60 pixel resolution, however the desired output video image has a 640 x 480 pixel resolution. An example of this may be a hand-held wireless video camera transmitting live video to a newsroom computer via the Internet. In such an example, cropper block 510 may use any conventional method for upscaling the image. For example, cropper block 510 may use pixel replication, with or without bi-linear, or bi-cubic filtering techniques, and the like. Other methods for upscaling the incoming frame are contemplated in alternative embodiments of the present invention.

In the present example, frame rate block 530 receives the sampled frames of data from cropper block 510. Frame rate block 530 also receives an indication of a desired frame rate for output video from control block 450, typically in frames per second (fps). In the present embodiment, control block 450 also knows the frame rate of the incoming video, also in fps. This frame rate is also sent to frame rate block 530.

In one embodiment, of the present invention, frame rate block 530 compares the incoming frame rate to the desired output frame rate, and adjusts the number of frames accordingly. For example, frame rate block 530 will drop frames of data to lower the number of frames per second, or will add frames of data to increase the number of frames per second.

In the case where the output frame rate is lower than the input frame rate, frame rate block 530 may use a counter to count to a specific number. When the number is reached, the current frame is dropped, or alternatively, the current frame is not dropped. For example, if the desired frame rate is 10 fps and the incoming frame rate is 11 fps, every time a counter counts to 10, the next frame is simply dropped. As another example, if the desired output frame rate is 5 fps, and the incoming frame rate is 30 fps, every time the counter counts to 6, the next frame is not dropped, but is passed to the next functional block.

In another embodiment, frame rate block 530 may be embodied as a first-in first-out frame (fifo) stack. In such an example, frames of input video are stored in a buffer location specified by a write pointer, and frames of output video are retrieved from a buffer location specified by a read pointer. In operation, every incoming video frame is written into the fifo stack, however, only when the frame is to be output is the write pointer incremented. In such a case, data read out of the fifo stack may be sequential.

Still other methods for reducing the frame rate are contemplated in alternative embodiments of the present invention.

In an alternative embodiment of the present invention, frame rate block 530 will add frames to increase the frame rate. For example, if the incoming frame rate is 10 fps, and the desired frame rate is 20 fps, frame rate block 530 will add frames to the video stream every other frame. One technique for increasing the numbers of frames involves interpolating the motion vectors of blocks in the frames. Many other methods for adding frames and increasing the frame rate are contemplated in alternative embodiments of the present invention, however are outside the scope of the present technical disclosure.

In the example in Fig. 4, color depth reducer block 540 sequentially receives the frames of data from frame rate block 530. In one embodiment, color depth reducer block 540 also receives an indication of the bit-depth for pixels in the incoming frame of data, and the desired bit-depth. In the present embodiment, in response to the bit depths, color depth reducer block 540 maps the number of bits from the input frame to the desired number of bits in the output frame.

As an example, the incoming image may have a 30 bit bit-depth, for example three component color having 10 bits of hue data, 10 bits of saturation data, and 10 bits of intensity data; the desired bit depth of the output frame may be 6 bit gray scale. In such an example, to reduce the color depth, color depth reducer block 540 may take only the 6 most significant digits in the intensity data for the output frame.

In another example, the incoming image may have a 24 bit bit-depth, for example, an RGB image having 24 bits of information (8:8:8), and the desired bit depth of the output frame may be 256 colors, or 8-bit color. In such an example, color depth reducer may re-map or dither, the values from the 24 bit color space into the 8 bit color space. Such dithering techniques are well known. In alternative embodiments, other types of techniques may be used to reduce the bit depth from an input video frame to obtain a desired output frame bit-depth.

In alternative embodiments of the present invention, increasing the color bit-depth may also be performed, using known techniques

In the present embodiment, bitrate control block 550 receives the output from color depth reducer block 540. In the present embodiment, bit rate control block 550 also receives a desired output bit rate from control block 450. For M-JPEG encoding, bit rate control block 550 is used to statistically compute a new quantization

scale factor for the data so that the effective bit rate more closely matches the desired output bitrate.

In the present embodiment, a quantization scale factor is first determined. The quantization scale factor is used to compress or expand a frame of data so that it more closely matches the desired output bit rate. In theory, in one embodiment the quantization scale factor is equivalent to a modulus (Mod) operator, or a most significant bits (MSBs) operator. In such cases, the differences between pixels that are close in value (e.g. 20 and 21), are ignored. As another example, values 20-23 may be considered the same as 20.

In this example, the quantization scale factor is determined by analyzing the number of bits per second are produced by a t0 frame of data. The number of bits is divided by the frame time to obtain a calculated bit rate in this embodiment. This calculated bit rate is compared to a desired bit rate to obtain the quantization scale factor.

The quantization scale factor is then applied to scale the next frame of data, a t1 frame of data. Continuing the example above, the next frame of data may be scaled by 2, so that the bit rate of the next frame of data will be 10 kbps. In the present embodiment, bit rate scaling is performed by reducing the effective color depth by the quantization scale factor, to meet the desired bandwidth requirements. In this example, the color depth is halved, i.e. the bottom least significant bits (LSBs) are ignored.

In one embodiment of the present invention, bit rate control block 550 monitors each frame, and attempts to control the bit rate to match the desired output bit rate for virtually all frames. In some embodiments, the quantization scale factor is updated every frame time, and in other embodiments, the quantization scale factor may be updated every Xth frame time. Where X is selectable automatically or manually.

In an alternative embodiment, a more simplistic techniques is utilized. In such an embodiment, if the incoming bit rate is above the desired output bit rate, a predetermined quantization scale factor is applied to the next frame. Further, if the incoming bit rate is below the desired output bit rate, another predetermined quantization scale factor is applied to the next frame. In such an embodiment, such predetermined quantization scaling factors may be selected ahead of time, based on empirical data, or the like. Still, in other embodiments of the present invention may provide for increasing the effective bit rate.

In Fig. 4, encoding block 560 next receives the bit-rate adjusted frames of data. Encoding block 560 may also receive a request for an encoding data format,

specified for by control block 450. In the embodiment illustrated in Fig. 4, encoding block 560 is embodied as an MPEG encoder. Encoding block 560 may include dedicated hardware encoders, such as those available from Sigma Designs, and the like.

In the present embodiment, for MPEG-1, MPEG-2, and MPEG-4 encoding, it is contemplated that I-frame data will be compressed. In another embodiment, P-frames, and even B-frames may also be compressed. For MPEG-4 encoding, it is contemplated that both I-frame data and P-frame data be compressed for transmission purposes. Detail description of I, P, and B frames are outside the scope of this technical disclosure.

In other embodiments of the present invention, alternative formats may specified, for example \*.avi format video, \*.mov format video, streaming video such as in the \*.rm format from Real Networks, or \*.aff format from Microsoft, or the like. Such formats may be in the public domain, or proprietary. Further, encoding block 560 may be embodied as specialized dedicated hardware, or as software routines on a digital signal processor (DSP), a microprocessor (Athlon, PentiumIII), or the like.

After encoding, the video data may be encrypted by encryptor block 570.

The above embodiment was illustrated in Fig. 4 as having specified interconnections between blocks. However, in alternative embodiments of the present invention, the different blocks may be interconnect in different ways, and may be dynamically interconnected in different ways. As an example, an incoming frame may include 24-bits of 640x280 color image whereas the desired output image is an 8 bit 80x60 gray scale image. In such an example, it is preferable to reduce the color depth information, before subsampling the image for sake of efficiency. In such a case, the data is passed to the color depth reducer 540 then to the sampler block 520. The interconnections between the blocks, and the data flow may be dynamic, and change according to specific need.

If implemented in hardware or partially in hardware, an efficient multiplexer or cross-bar mechanism can be used for embodiments of the present invention. If implemented in software, little if any additional hardware interconnections are typically required.

Figs. 5A and 5B is an overview flow diagram according to an embodiment of the present invention.

Initially, a device requests a stream of video data from a video source, step 600. This step may be initiated by a user of a cellular telephone (requesting device)

navigating the web, for example, and requesting to be coupled to the video source. An example of this is a commuter trying to connect with highway traffic cameras on the web, so she can see which way is the best way home.

Included with this request may be an indicator of the type of device she is calling from. For example, a cellular telephone, a wireless PDA, or the like. In response to the identifier, the video source determines the bandwidth requirements and desired format of the device, step 610. As discussed previously, the request for the stream of video data may include the bandwidth and format requirements, in one embodiment. In other embodiments of the present invention, the identifier simply identifies the class of the device, the manufacturer of the device, the model of the device, the class of service and quality of service, or the like. Based upon one or more of these identifiers, the bandwidth and format requirements may be determined by the video source.

In the present embodiment, the video data requirements of the requesting device may vary widely. For example, the output video streams may be of different file or video formats, may have different color depths, may have different frame rates, may have different bit rates, and the like. As an example, embodiments of the present invention may output video streams having output resolutions as little as 8x8. More typically, the output video is a multiple of a frame approximately 80x60 pixels. For example, the output video may have a spatial bandwidth of approximately 160x120, 320x240, 640x480, or virtually any resolution in-between. As another example, for Real Media format, encoding frames sizes of approximately 352x288 and 176x144 are typical. In alternative embodiments, the output resolution may be as little as 1 pixel.

In embodiments of the present invention, the output frame rate specified are typically up to 30 frames per second, since the input frame rate from video cameras, 30 fps, is typically the limiting factor. In other embodiments, other output frame rates may be specified, for example 5, 15, 29 fps, and the like.

In this example, the bit-depth of the output video may vary from 1 bit up to 30 bits. In this embodiment, 30 bits are typically split into 3 components of 10 bits of data each, for example, RGB, HSV, or the like. Greater than 10 bits may be provided for each component in the future, as camera/sensor technology increases.

The maximum bit rate for the output video data stream may also be specified by the requesting device, in the present embodiment.

In this embodiment, the source determines whether it can handle the video request from the device, step 620. For example, the source may determine whether the



desired format is one which the source supports, the source may determine whether it has the bandwidth to support the device, or the like. If not, the source redirects the device to a known gateway computer, as discussed above, step 630.

5 In the present embodiment the gateway computer also determines the bandwidth requirements and desired format of the device, step 640, in a similar manner as described above. Alternatively, the source may re-transfer the bandwidth and format requirements to the gateway computer.

10 In this embodiment, the gateway computer also determines whether it can handle the request from the device, step 650. For example, the gateway may determine whether the desired format is one which is supported, the gateway may determine whether it has the bandwidth to serve the device, and the like. In this embodiment, if the gateway computer cannot handle the load, the gateway “bounces” the request back to the source, step 660. In such a case, the source may determine whether it can now handle the request, and/or whether a different gateway computer can handle the request.

15 In the next step, the gateway communicates with the video source and in return begins to receive an input stream of video data, step 660. In embodiments of the present invention, the input stream of video data use RTP or other data streaming protocols.

20 Different input streams may be of different file or video formats, color depth, and the like. For example, embodiments of the present invention may receive input from sources having an input resolution as little as 8x8. More typically, the input video is a multiple of a frame approximately 80x60 pixels. For example, the input video may have a spatial bandwidth of approximately 160x120, 320x240, 640x480, or virtually any resolution in between. In one embodiment, Real Media format, for example, encodes  
25 at resolutions of approximately 352x288 and 176x144.

In the present embodiment, the input frame rate is typically up to 30 frames per second, because most video cameras produce a maximum of 30 frames per second. In other embodiments of the invention, other input frame rates may also be used, for example 7, 15, 29 fps, and the like.

30 The bit-depth of the input video may also vary from 1 bit up to 30 bits in the current embodiment. In this embodiments of the present invention, 30 bits provides 10 bits of data information to each color component, e.g. RGB, HSV, YUV or the like. In future embodiments, more than 10 bits may be provided for each component, based upon improvements on camera/sensor design.

In response to the input video data stream, frames of data are buffered and are stored in the frame buffer, step 670. In the present embodiment, the type of data, at rate at which the frame buffer is updated, is controlled by a control block.

In one embodiment of the present invention, the video data may be uncompressed, for example, where each pixel represents the intensity data. In an alternative embodiment, the video data may be in a compressed form, for example, a quantized wavelet transform on the frame of the video.

Next, the transcoder retrieves the frame of data and formats the data according to the bandwidth and format requirements for the output video stream, step 680. Further details regarding this step will be given below.

Once the data has been bandwidth reduced and format encoded, the stream of data is encoded for transmission via RTP or other protocol, step 690. In alternative embodiments of the present invention, other network transmission formats may be used, for example IPX, or the like. The data is then transmitted to the requesting device, step 700.

In the present embodiment, the requesting device receives the packets of data and strips the RTP headers to recover the stream of data, step 710. The data stream is then typically decompressed and then displayed on the requesting device, step 720. For example, the requesting device will retrieve MPEG-4 data, and then play that data to its display. As an example, the user may see that there is a traffic jam on the highway.

In alternative embodiments, other types of formats may be used, for example, AVI, MPG, MOV, XING and the like. In still other embodiments, other formats, including other proprietary formats may also be specified and used by the requesting device.

Figs. 6A and 6B illustrates a more detailed embodiment of the present invention. In particular, Figs. 6A and 6B illustrates a block diagram of a transcoding process according to one embodiment.

As disclosed above, a frame of input video data is initially put into the frame buffer. In the present embodiment, when the data is derived from a streaming or file video source, the first step, if needed, is to crop the data in the frame buffer to obtain the input video data, step 800.

Next, it is determined whether the color-depth of the input frame is larger or smaller than the desired output color depth, step 810. In the present embodiment, a

control block may make this determination, and the result of this comparison may be simply sent to a color depth reduction unit.

In one case where the input color depth is larger than the desired output color depth, the input frame of data is dithered to achieve the desired output color depth, step 820. For example, if the input color depth includes 30 bit RGB color (10:10:10), and the desired output color depth is 24 bit RGB color (8:8:8), the color depth of the input frame should be reduced. One method to achieve this is, is to merely take the most significant (MSB) 8 bits from each component (R,G,B) to obtain the 24-bit RGB color (8:8:8). In alternative embodiments of the present invention, other methods of reducing color depth are contemplated. For example, different techniques are typically used when receiving video data in different component spaces, such as YUV, HSV, and the like.

In one case where the input color depth is smaller than the desired output color depth, the input frame of data is typically scaled to the output color depth, step 830. For example, if the input color depth is 8 bits and the desired output bit depth is 10 bits, the input frame bit depth may be scaled up. One method to achieve this upscaling would be to simply use the X number of bits of the input pixel values, as the X number of most significant bits (MSBs) in the desired output color depth. In the example above, the two least significant bits (LSBs) of the output frame may be padded with 0s, 1s or the like. In other embodiments of the present invention, any number of upscaling techniques may also be used.

Next, it is determined whether the resolution of the input frame is larger or smaller than the desired output resolution, step 840. In the present embodiment, a control block may make this determination, and the result of this comparison may be sent to a sampler unit.

In one case where the input resolution is larger than the desired output resolution, the input frame of data is subsampled to approximately achieve the desired output resolution, step 850. For example, if the input resolution is 640x480 and the desired output resolution is 320x240, the input frame resolution should be reduced. One method to achieve subsampling would be to use every Xth pixel in every Xth line for the output image. Using the example above, X would be 2, to achieve 320x240 resolution, and the like.

In alternative embodiments of the present invention, other methods of subsampling are contemplated. For example, the average of a number of pixels surrounding a pixel could be used for the output image.

In one case where the input resolution is smaller than the desired output resolution, the input frame of data is supersampled to approximately achieve the desired output resolution, step 860. For example, if the input resolution is 160x120 and the desired output resolution is 320x240, the input frame resolution should be increased. One method to achieve upscaling would be to use pixel replication techniques. Interpolation techniques using bilinear or bi-cubic filters could be employed to provide a more acceptable image. In still other embodiments of the present invention, any number of upscaling techniques may also be used.

In the present embodiment, it is next determined whether the frame rate of the input frame is greater or lesser than the desired output frame rate, step 870. In the present embodiment, a control block may make this determination, and the result of this comparison may be simply sent to a frame rate reduction unit.

In one case where the frame rate is higher than the desired output frame rate, frames of the input image are dropped, step 880. For example, if the input frame rate is 30 frames per second (fps) and the desired output frame rate is 10 fps, the input frame rate should be reduced. In such an embodiment, two of every three input frames need to be dropped, and the remaining input frame is used for output. Embodiments may include a counting mechanism to identify which frames are to be dropped, or which frames will not be dropped.

In alternative embodiments of the present invention, other methods for adjusting the frame rate are contemplated. For example, instead of dropping frames, frames may be interpolated and output. For example, in the example above, the motion vectors of three frames may be interpolated together to form the motion vectors of one output frame. Other techniques are also contemplated

In one case where the input frame rate is lesser than the desired output frame rate, frames may be added to the input frames, step 890. For example, if the input frame rate is 5 fps and the desired output frame rate is 10 fps, the number of frames should be increased. One method to add frames simply by duplicating the previous frame. Another method is to add frames is by averaging frames about the added frame. In still other embodiments of the present invention, any number of techniques for adding frames may also be used.

In this embodiment, for non-MPEG encodings, the next step is to determine whether the bit rate of the input frame is greater or lesser than the desired output bit rate, step 900. In the present embodiment, a control block may help make this

determination, and/or the result of this comparison may be simply sent to a bit rate control unit. In the present embodiment, the bit rate of a first input image is first determined.

This bit rate is compared to the desired bit rate, and in response, a quantization scale factor is determined. This quantization scale factor is then applied to scale the next input

5 image.

In one case where the bit rate is higher than the desired output bit rate, the bit rate of the input image is reduced, step 910. For example, if the input bit rate is 20 kilo bits per second (kbps) and the desired output bit rate is 10 kbps, the input bit rate should be reduced. In such an embodiment, the quantization scale factor is computed  
10 based on known statistical algorithms. The next input image is assumed to be approximately the same bit rate (20 kbps), thus it is scaled by 2 to reduce the bit rate to 10 kbps.

In the present embodiment, reducing the bit rate by the scaling factor is accomplished by dynamically reducing the effective bit depth of the DCT data in the  
15 frame. For example, the input frame may include pixels having DCT values 1, 2, 3, 4, 5, 6, 9, 10 but the corresponding output pixels, after scaling, may have the respective values 0, 0, 0, 4, 4, 4, 8, 8. As illustrated in this example, the two LSB are ignored, thus there are 4 times fewer output DCT values. The reduction of DCT values thus reduces the number of output bits, and thus reduces the output bit rate.

20 In alternative embodiments of the present invention, other types of techniques can be used to reduce the output bit rate.

In one case where the input bit rate is less than the desired output bit rate, data may be added to the input frames, step 920. For example, if the input bit rate is 10 kilo bits per second (kbps) and the desired output bit rate is 20 kbps, the input bit rate  
25 may be increased. In such an embodiment, the quantization scale factor can then be calculated, as above, and the scale factor can then be applied to the next input frame

In the present embodiment, increasing the bit rate by the scaling factor is accomplished by dynamically increasing the effective bit depth of the frame. For example, the input frame may include pixels having values 2, 2, 2, 4, 4, 8, 8, 16, 16, (out  
30 of 16) but the corresponding output pixels, after scaling, may have the respective values 32, 32, 32, 64, 64, 128, 128, 256, 256 (out of 256). Then the pixels may be averaged with adjacent pixels to obtain a higher quantization level. Other sorts of techniques for increasing the number of bits are contemplated in other embodiments of the present invention.

In the present embodiment, the determination of the quantization scale factor occurs at every frame. The scale factor is then applied to the next frame. In alternative embodiments of the present invention, the quantization scale factor may be recalculated less often, depending upon the type of input video data, the processing power, and the like.

The next step in this example is to determine the data encoding format, step 930. In the present embodiment, a control block may make this determination. Next, in the present embodiment, the data from the bit rate control circuit is input into the appropriate encoding block, step 940.

After encoding the video data stream, the stream may also be encrypted using known cryptographic methods.

In one embodiment of the present invention, the encoding format may include MPEG-1, MPEG-2, MPEG-4, \*.avi, \*.mov, \*.rm, \*.aff, and the like. In other embodiments, sub-groups of these formats may only be supported, for example, one system may only provide MPEG-4 and Quicktime encoding. In other embodiments, additional encoding formats, and streaming media formats may also be supported.

One embodiment of an encoder is based primarily upon hardware encoders, however, these encoders may also be implemented by software routines.

In the foregoing specification, the invention has been described with reference to specific exemplary embodiments thereof. Many changes or modifications are readily envisioned. For example, the computer network 210 may be a LAN, or other type of network.

In light of the present patent application, the addition of other functionality in alternative embodiments is certainly envisioned. For example, not all functional blocks are necessary for an transcoder as was described above. In the above example, a transcoder included a cropper, a sampler, a frame rate adjuster, a color depth adjuster, a bit rate adjuster, an encoder, and an encryptor. In alternative embodiments, any one or more may be used as a transcoder. For example, one transcoder may simply include a subsampler, and a color bit depth reducer; another transcoder may include a frame rate reducer. Further, portions may be implemented outside the transcoder. For example, an encoder may be considered separate from the transcoder.

In still other embodiments, a gateway system 100, transcoder 420, and the like output streams of video at different formats at the same time. As an example, gateway system 100 provides an MPEG-4 video stream of data at 640X480 24 bit color

images at 30 fps. At the same time, gateway system 100 provides a Quicktime video stream of data at 160X120 16 bit color images at 20 fps. And at the same time, gateway system 100 provides a Windows Media video stream of data at 80X60 8-bit gray image at 5 fps. Many such combinations are included in the present embodiments.

5 In still other embodiments, the transcoder may be based virtually all on software. In other embodiments, the transcoder may be software code for a DSP, a microprocessor, or the like. In still other embodiments, the transcoder may include specialized hardware with software code for a DSP microprocessor and other specialized hardware units. Because not all of the above functional blocks are necessary for  
10 embodiments of the present invention, a software transcoder may be effectively used in computer systems such as computer system 110, in Fig. 1, or the like.

The foregoing description of preferred embodiments of the present invention has been provided for the purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise forms disclosed.

15 Obviously, many modifications and variations will be apparent to the practitioners skilled in this art.

The embodiments were chosen and described in order to best explain the principles of the invention and its practical application, thereby enabling others skilled in the art to understand the invention for various embodiments and with various  
20 modifications as are suited to the particular use contemplated. It is intended that the scope of the invention be defined by the following claims and their equivalents.

WHAT IS CLAIMED IS:

1                   1.       A computer program product for a system including a processor  
2 comprises:  
3                   a tangible memory coupled to the processor including:  
4                   code that directs the processor to determine an output resolution for an  
5 output stream of data;  
6                   code that directs the processor to determine an output frame rate for the  
7 output stream of data;  
8                   code that directs the processor to determine an output color depth for  
9 the output stream of data;  
10                  code that directs the processor to retrieve a first frame of data, a second  
11 frame of data, and a third frame of data from an input stream of data, the input stream of data  
12 having an input resolution, an input frame rate, and an input color depth;  
13                  code that directs the processor to subsample the first frame of data, the  
14 second frame of data, and the third frame of data to respectively form a first subsampled  
15 frame of data, a second subsampled frame of data, and a third subsampled frame of data,  
16 when the output resolution is lower than the input resolution;  
17                  code that directs the processor to remove the second subsampled frame  
18 of data, when the output frame rate is lower than the input frame rate;  
19                  code that directs the processor to reduce color depth for the first  
20 subsampled frame of data and the second subsampled frame of data to respectively form a  
21 first reduced frame of data and a second reduced frame of data, when the output color depth  
22 is smaller than the input color depth; and  
23                  code that directs the processor to convert the first reduced frame of  
24 data and the second reduced frame of data into the output stream of data.

1                   2.       The computer program product of claim 1 wherein the tangible  
2 memory further comprises:  
3                   code that directs the processor to determine an output bit rate for the output  
4 stream of data; and  
5                   code that directs the processor to scale the first reduced frame of data and the  
6 second reduced frame of data, in response to the output bit rate for the output stream of data



1                   3.       The computer program product of claim 1 wherein the output stream of  
2 data is in a format selected from the group consisting: MPEG-1, MPEG-2, MPEG-4, jpeg,  
3 gif, wbmp.

1                   4.       The computer program product of claim 1 wherein the output stream of  
2 data is in a format selected from the group consisting: \*.avi, \*.rm, \*.mov.

1                   5.       The computer program product of claim 1 wherein the output  
2 resolution is a multiple of a frame having a resolution of approximately 80 horizontal pixels  
3 by approximately 60 vertical pixels.

1                   6.       The computer program product of claim 1 wherein the output  
2 resolution is a multiple of 8 horizontal pixels.

1                   7.       The computer program product of claim 1 wherein the tangible  
2 memory further comprises:  
3                   code that directs the processor to crop the first frame of data, the second frame  
4 of data, and the third frame of data before subsampling.

1                   8.       A program product for a processor comprises:  
2                   code that directs the processor to receive a specification of a resolution, a  
3 frame rate, a color depth, and format for the output video stream;  
4                   code that directs the processor to receive a specification of a resolution, a  
5 frame rate, and a color depth, for the input video stream;  
6                   code that directs the processor to receive a plurality of video frames from an  
7 input video stream;  
8                   code that directs the processor to subsampling each video frame from the  
9 plurality of video frames, when the resolution for the output video stream is different from  
10 the resolution of the input video stream;  
11                  code that directs the processor to drop video frames from the plurality of video  
12 frames, when the frame rate for the output video stream is different from the frame rate of the  
13 input video stream;  
14                  code that directs the processor to reduce color depth for video frames from the  
15 plurality of video frames, when the color depth for the output video stream is different from  
16 the color depth of the input video stream; and



code configured to direct the processor to receive bandwidth requirements for the output video stream, and an encoding format for the output video stream;  
code configured to direct the processor to reduce bandwidth used by the frames of data in response to the bandwidth requirements; and  
code configured to direct a processor to encode bandwidth reduced frames of data to form the output video stream in the encoding format;  
wherein the codes reside on a tangible media.

17. The program product of claim 16  
wherein bandwidth requirements comprise spatial bandwidth; and  
wherein the code configured to direct the processor to reduce bandwidth used by the frames of data comprises code configured to direct the processor to reduce spatial bandwidth used by the frames of data in response to the spatial bandwidth requirements.

18. The program product of claim 17 wherein code configured to direct the processor to reducing spatial bandwidth comprises code configured to direct the processor to subsample the frames of data.

19. The program product of claim 16  
wherein bandwidth requirements comprise color bandwidth; and  
wherein the code configured to direct the processor to reduce bandwidth used by the frames of data comprises code configured to direct the processor to reduce color bandwidth used by the frames of data in response to the color bandwidth requirements.

20. The program product of claim 19 wherein code configured to direct the processor to reducing color bandwidth comprises code configured to direct the processor to reduce a bit depth of the frames of data.

21. The program product of claim 16  
wherein bandwidth requirements comprise frame rate; and  
wherein the code configured to direct the processor to reduce bandwidth used by the frames of data comprises code configured to direct the processor to reduce frame rate of the frames of data in response to the frame rate requirements.

- 1                    22.     The program product of claim 21 wherein code configured to direct  
2     the processor to reducing frame rate comprises code configured to direct the processor to  
3     eliminate frames from the frames of data.

# COMPUTER PROGRAM PRODUCT FOR TRANSFORMING STREAMING VIDEO DATA

## ABSTRACT OF THE DISCLOSURE

According to one embodiment, a computer program product for a system including a processor includes a tangible memory including code that directs the processor to determine an output resolution for an output stream of data, code that directs the processor to determine an output frame rate for the output stream of data, code that directs the processor to determine an output color depth for the output stream of data, code that directs the processor to retrieve a first frame of data, a second frame of data, and a third frame of data from an input stream of data, the input stream of data having an input resolution, an input frame rate, and an input color depth, code that directs the processor to subsample the first frame of data, the second frame of data, and the third frame of data to respectively form a first subsampled frame of data, a second subsampled frame of data, and a third subsampled frame of data, when the output resolution is lower than the input resolution are also included, code that directs the processor to remove the second subsampled frame of data, when the output frame rate is lower than the input frame rate, code that directs the processor to reduce color depth for the first subsampled frame of data and the second subsampled frame of data to respectively form a first reduced frame of data and a second reduced frame of data, when the output color depth is smaller than the input color depth, and code that directs the processor to convert the first reduced frame of data and the second reduced frame of data into the output stream of data.

PA 3048633 v2

# SAMPLE NETWORK TOPOLOGY

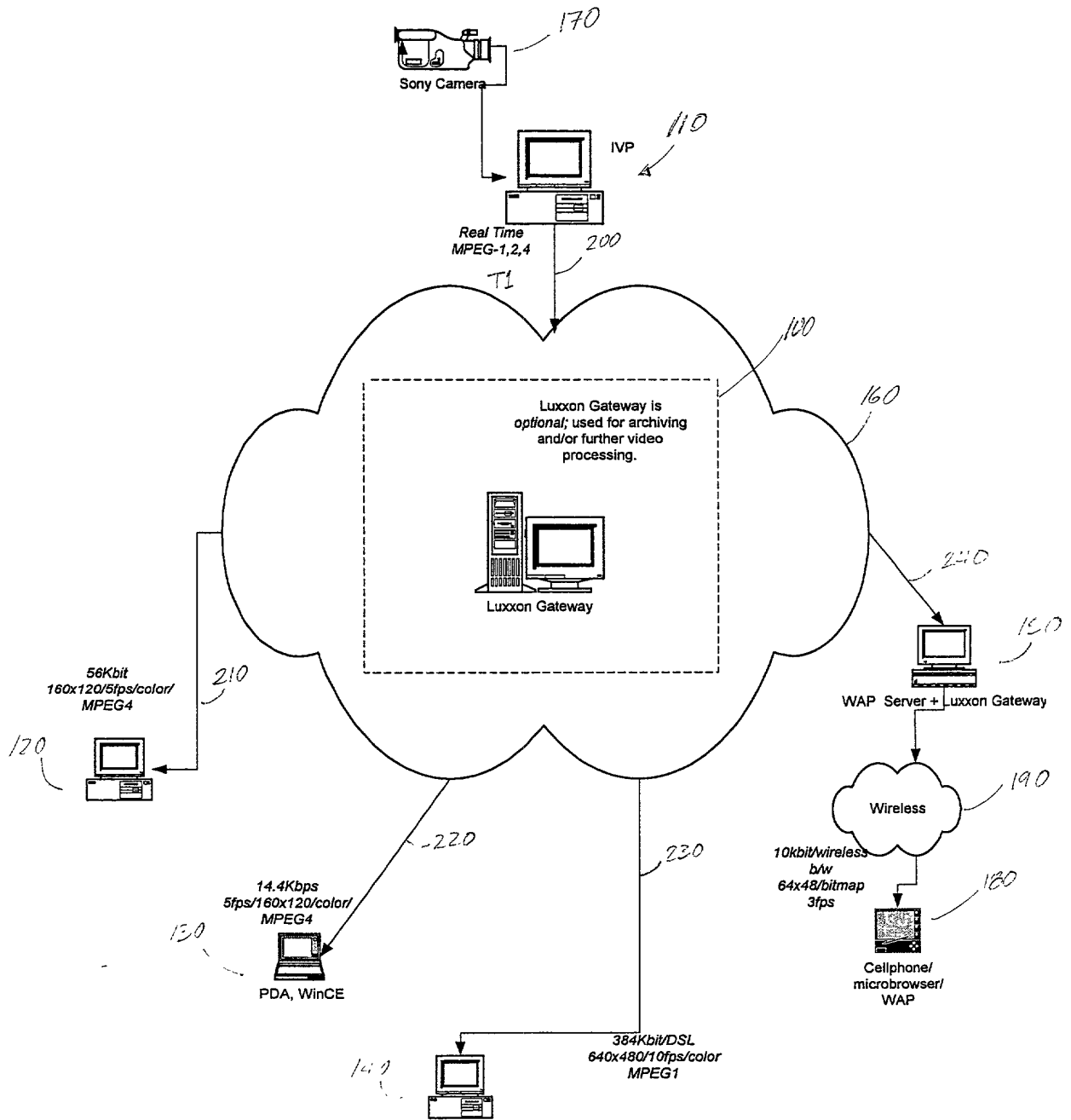


FIG. 1

000120 0620360

000120 00020000

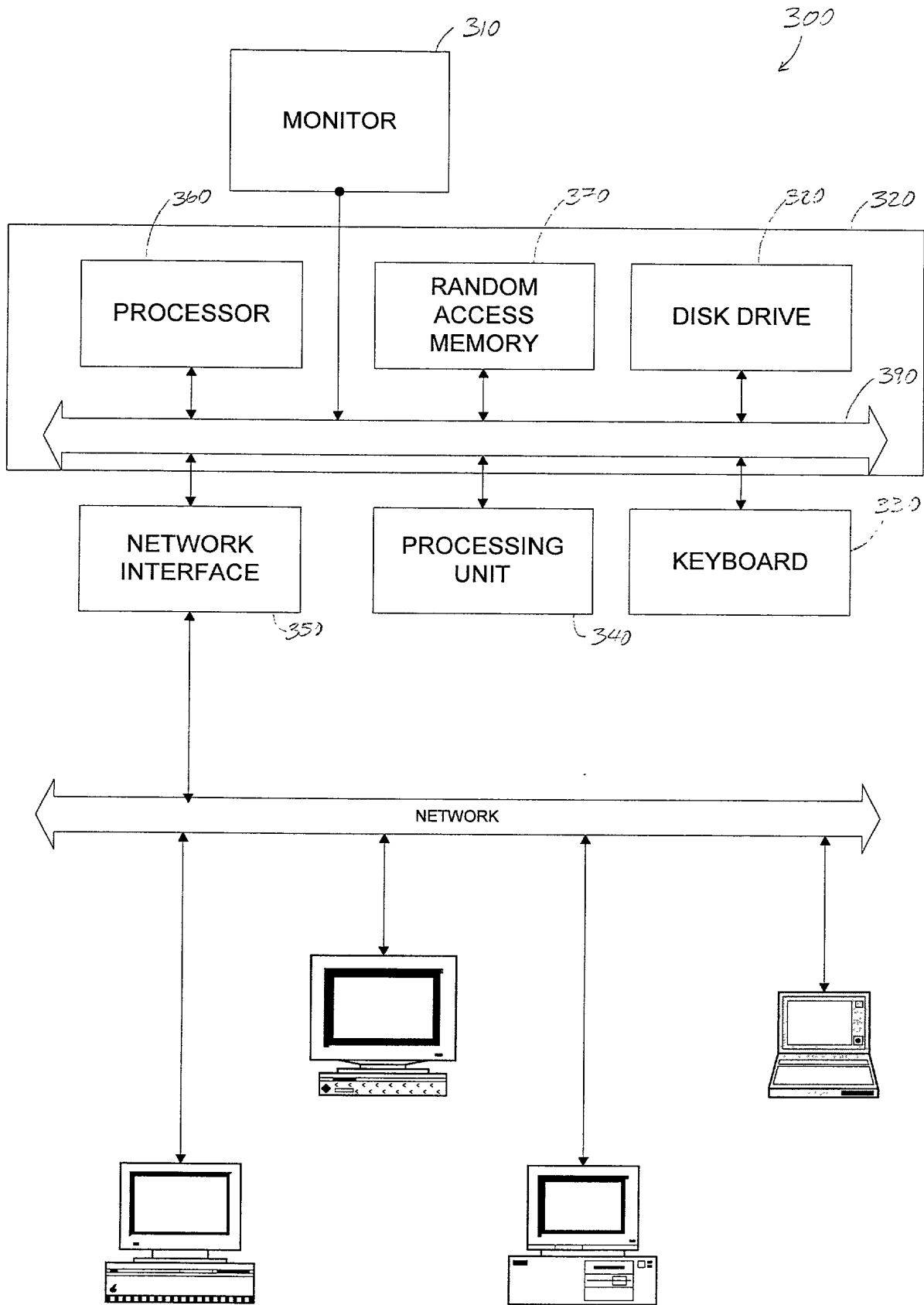


FIG. 2

000120 0520300

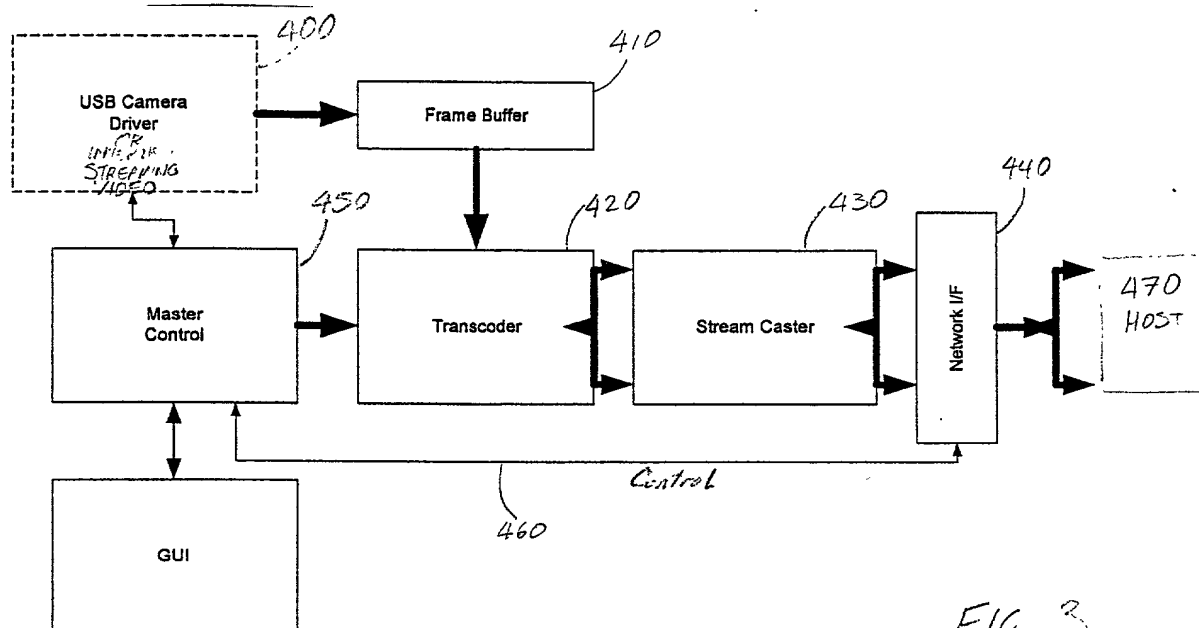


FIG. 3





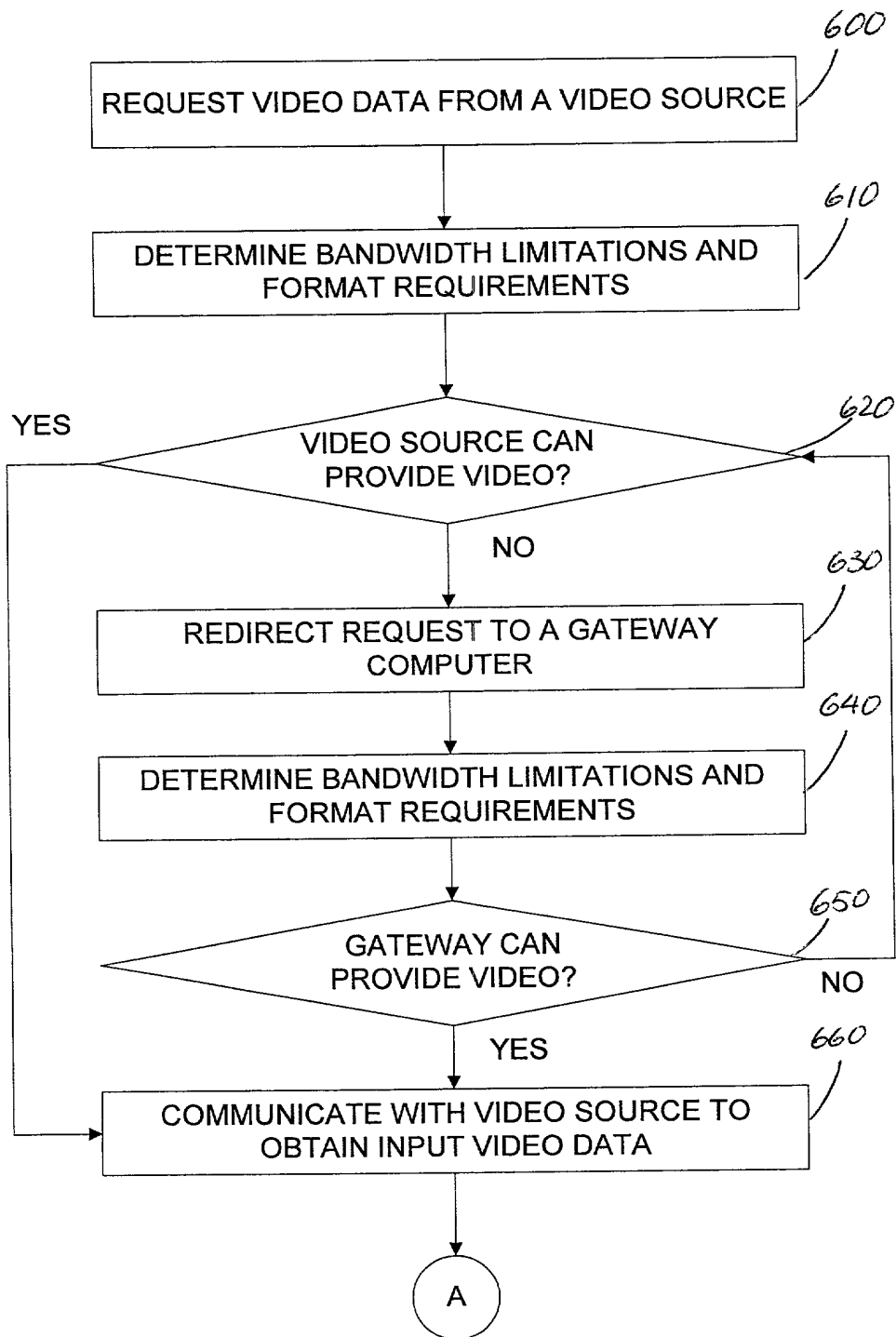


FIG. 5A

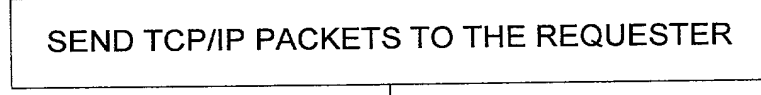
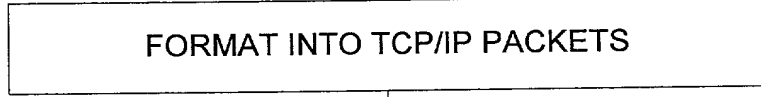
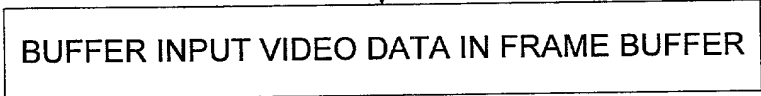


FIG. 5B

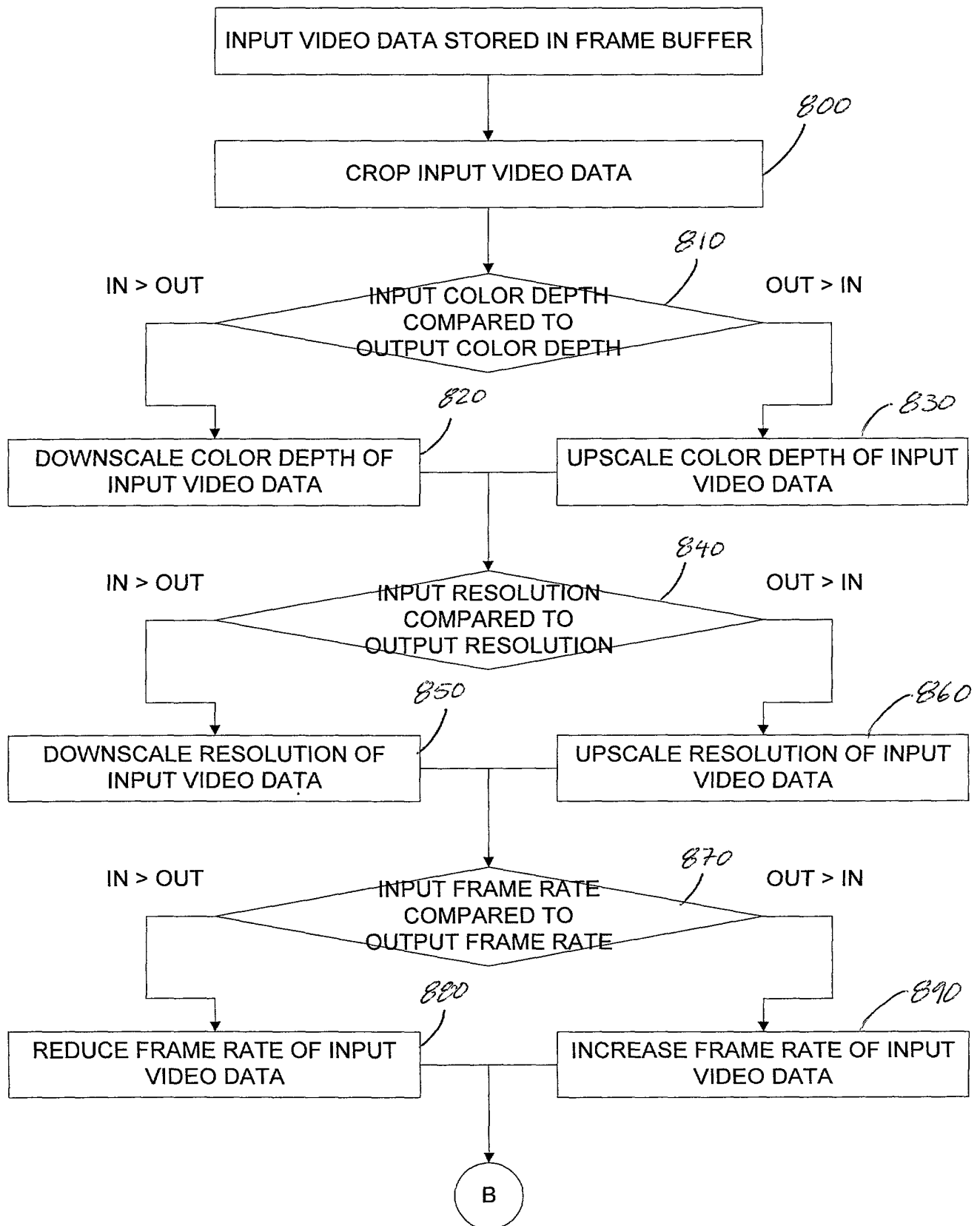


FIG. 6A

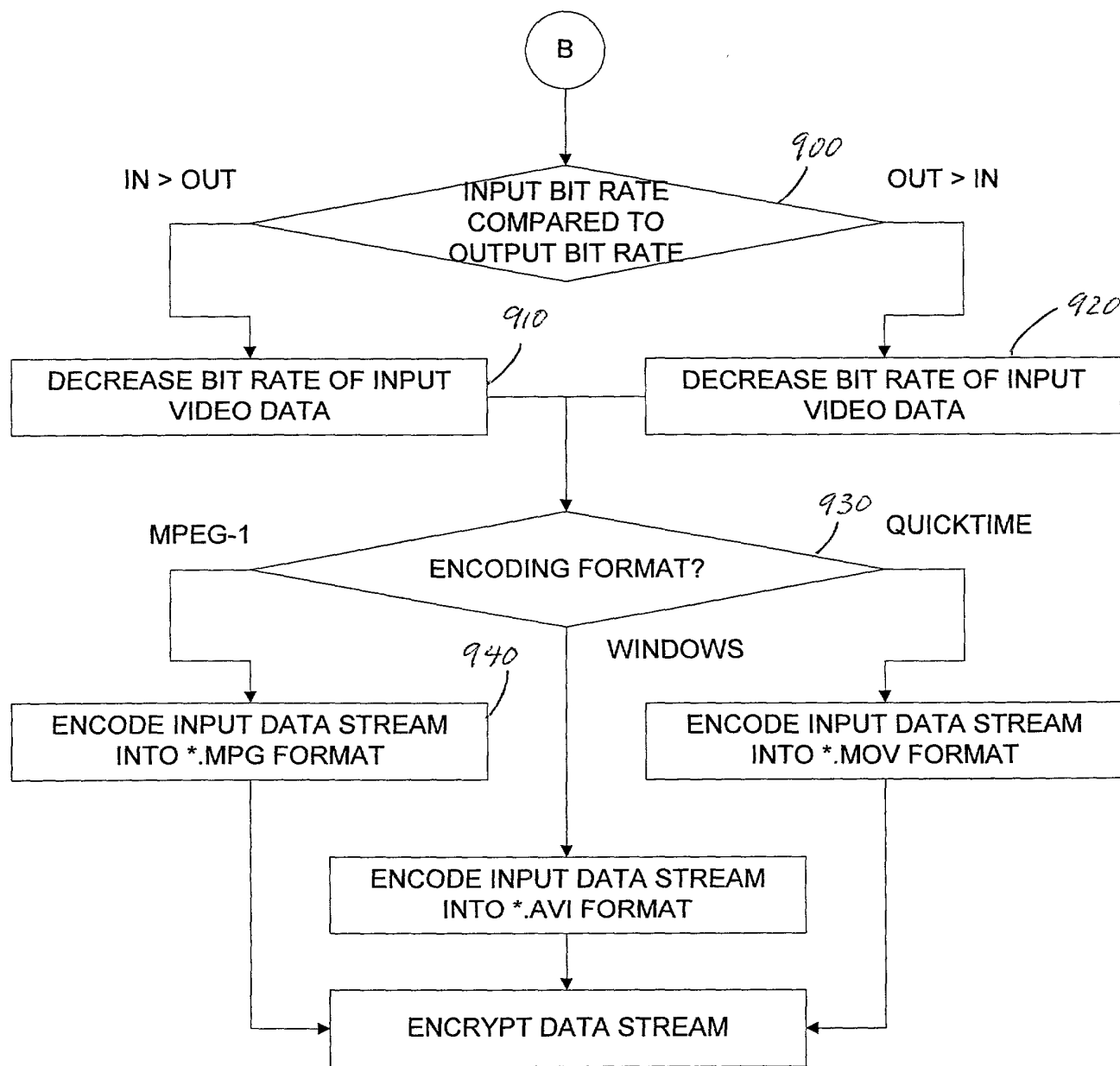


FIG. 6B

**DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **COMPUTER PROGRAM PRODUCT FOR TRANSFORMING STREAMING VIDEO DATA** the specification of which   X   is attached hereto or        was filed on                      as Application No.                      and was amended on                      (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/157,468	October 1, 1999

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

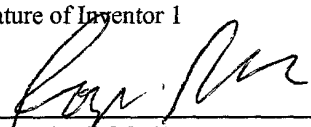
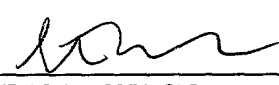
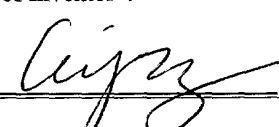
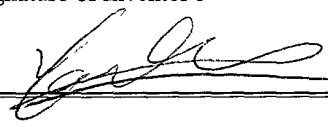

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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--	--

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I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  _____ ROGER K. BROOKS	Signature of Inventor 2  _____ STEPHEN A. MOLLOY	Signature of Inventor 3 _____ CHI-TE FENG
Date <b>2/7/00</b>	Date <b>2/7/00</b>	Date
Signature of Inventor 4  _____ QING ZHANG	Signature of Inventor 5  _____ YANDA MA	Signature of Inventor 6  _____ DAVE SINGH

QING ZHANG	YANDA MA	Dave DAVID M. SINGHAL
Date 2/7/00	Date 2/7/00	Date 2/7/00

PA 3048656 v1



Attorney Docket No.: 19838-000330US

**DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **COMPUTER PROGRAM PRODUCT FOR TRANSFORMING STREAMING VIDEO DATA** the specification of which X is attached hereto or \_\_\_\_\_ was filed on \_\_\_\_\_ as Application No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

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60/157,468	October 1, 1999

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

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Richard T. Ogawa, Reg. No. 37,692

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Attorney Docket No.: 19838-000330US

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I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
		
<b>ROGER K. BROOKS</b>	<b>STEPHEN A. MOLLOY</b>	<b>CHI-TE FENG</b>
Date	Date	Date <b>2/8/00</b>
Signature of Inventor 4	Signature of Inventor 5	Signature of Inventor 6

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